



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bergen, et al.

Docket No.: SAR 12228

Serial No.: 08/970,889

Examiner: Not yet known

Filed: November 14, 1997

Art Unit: 2721

For: METHOD AND APPARATUS FOR EFFICIENTLY REPRESENTING, STORING
AND ACCESSING VIDEO INFORMATION

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

**ATTN.: APPLICATION PROCESSING DIVISION
CUSTOMER CORRECTION BRANCH**

Sir:

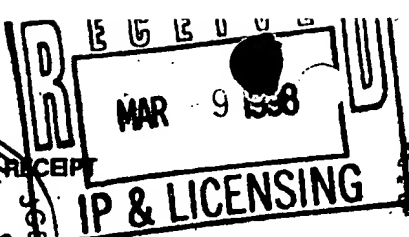
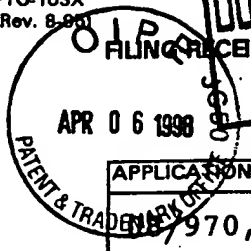
Please provide a corrected official Filing Receipt. The required changes appear on the enclosed marked copy of the original Filing Receipt. Attached is a copy of the Declaration showing the correct spelling of names and addresses.

Respectfully submitted,

Date: March 27, 1998

By: John V. Silverio
John V. Silverio
Attorney for Applicant
Registration No. 34,014

Law and Patents
Sarnoff Corporation
CN 5300, Princeton, NJ 08543-5300
Telephone No. (609) 734-2454



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
0970,889	11/14/97	2721	\$0.00	SAR12228	10	21	4

WILLIAM J BURKE
LAW AND PATENT OPERATION
SARNOFF CORPORATION
201 WASHINGTON ROAD CN 5300
PRINCETON NJ 08543-5300

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

JAMES R. BERGEN; CURT CARLSON; RAKESH KUMAR; ~~SAWHNEY S. HARPREET.~~
HARPREET S. SAWHNEY

CONTINUING DATA AS CLAIMED BY APPLICANT-
PROVISIONAL APPLICATION NO. 60/031,003 11/15/96

FOREIGN FILING LICENSE GRANTED 03/04/98

TITLE

METHOD AND APPARATUS FOR EFFICIENTLY REPRESENTING STORING AND
ACCESSING VIDEO INFORMATION

PRELIMINARY CLASS: 382



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/970,889	11/14/97	BERGEN	J SAR12228

0222/0304

WILLIAM J BURKE
LAW AND PATENT OPERATION
SARNOFF CORPORATION
201 WASHINGTON ROAD CN 5300
PRINCETON NJ 08543-5300

NOT ASSIGNED

2721

DATE MAILED:

03/04/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1,024.

- ☒ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. Additional claim fees of \$ 82 for 1 independent claims over 3.

\$ 22 for 1 dependent claims over 20.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
☒ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

SECTOR/1#



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of Bergen, et al.

Docket No.: SAR 12228

Serial No: 08/970,889

Examiner: Not yet known

Filed: November 14, 1997

Art Unit: 2721

For: **METHOD AND APPARATUS FOR EFFICIENTLY REPRESENTING, STORING AND ACCESSING VIDEO INFORMATION**

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231
Attn.: **BOX MISSING PARTS**

CERTIFICATE OF MAILING (37 CFR 1.8(a))
I HEREBY CERTIFY THAT THIS PAPER
(TOGETHER WITH ANY PAPERS REFERRED TO
AS BEING ATTACHED OR ENCLOSED) IS BEING
DEPOSITED WITH THE UNITED STATES
POSTAL SERVICE ON THE DATE SHOWN BELOW
WITH SUFFICIENT POSTAGE AS FIRST CLASS
MAIL IN AN ENVELOPE ADDRESSED TO THE
COMMISSIONER OF PATENT AND TRADEMARKS,
WASHINGTON D.C. 20231
Date: April 3, 1998
By: Eileen E. Uhrik
Eileen E. Uhrik

Sir:

In response to the Notice To File Missing Parts, Filing Date Granted, mailed March 4, 1998, the below-identified parts are enclosed herewith to complete the above-identified application:

1. An original Combined Declaration and Power of Attorney signed by inventors: Curt Carlson, James R. Bergen, Rakesh Kumar, Harpreet S. Sawhney
2. Please charge deposit account no. 04-0203 in the amount of \$1,024.00 (\$790.00 filing fee), additional claim fees of \$82.00 (independent) and \$22.00 (dependent) and \$130.00 surcharge (37 CFR 1.16(e)) for payment of the fees due and any deficiency in fees during the pendency of the application.

A copy of the Notice To File Missing Parts, Filing Date Granted is enclosed.

Respectfully submitted,

Date: April 3, 1998

John V. Silverio
John V. Silverio
Reg. No. 34,014
Attorney for Applicant

04/13/1998 ASELLMAN 00000024 040203 08970889
01 FC:105 130.00 CH
02 FC:101 Sarnoff Corporation 790.00 CH
03 FC:102 CN 580.00 CH
04 FC:103 22.00 CH
Princeton, NJ 08543-5300
(609) 734-2454



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Patent and Trademark Office
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0222/0304

WILLIAM J BURKE
LAW AND PATENT OPERATION
SARNOFF CORPORATION
201 WASHINGTON ROAD CN 5300
PRINCETON NJ 08543-5300

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2721

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If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1,824

☒ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ 82 for 1 independent claims over 3.

\$ 22 for 1 dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

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See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.

☒ 9. OTHER

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A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FORM PTO-1533 (REV.9-97)

BEST AVAILABLE COPY